

Employment Application Form

Thank you for applying with us.

Employee Referral

College Recruiting

Job Fair

eQuotz[®] is proud to be a recognized leader in the financial services industry. In addition, eQuotz[®] is proud to be an Equal Employment Opportunity Employer. It is the policy of eQuotz[®] to prohibit both discrimination against and harassment of any applicant without regard to race, creed, religion, color, age, sex national origin or ancestry, sexual orientation, disability, veteran status, or other factors identified and protected by federal, state, and local legislation. Applications are considered active only until the position applied for has been filled. If, based upon the information you have provided, we would like to discuss your qualifications further, we will contact you.

Please print answers to a Today Date	-	0 1 - 1 0 16 -		аррисавіе.		
Full Name:		-				
Fisrt Name	e	Middle Ir	nitial	Last Name		
Previous Legal Name			Year Changed			
Current Address						
Street			Apartment # _			
City			State		Zip	
Home Telephone Number			Cellular			
Email Address			Telephone Nu	mber		
Previous City of Residence			State		_ Zip	
Previous City of Residence			State		Zip	
Position Desired (Job Title)			Requisition #			
How did you learn of this job op	ening?					
Advertisement		Executive Search	Firm/Agency			
☐ Direct Recruit		Community Prog	ram			
Internet		Family Referral				
Business Referral		Retail Promotion				

Contract/TempEmployee

Former Employee

Walk In

Days and Hours Av	ailable For Work:_				
Hours Desired Per	Week		Dat	te Available to Begi	n
		oloyment in the U.S ant status such as H		ctions to the length	of employment period?
☐ Yes ☐ No					
Have you ever rece Yes No Have you ever beer Yes No If so, where?	_		tz [®] , or one of its affil	iates, that was subs	sequently withdrawn?
Do you currently ha	avo any rolativos or	mployed by or one	of its affiliatos?		
Yes No If so, where?	ive any relatives el	ripioyed by or one o	or its aiilliates !		
Education F	listory				
Beginning with High	n School, list each	school attended.			
Name Of School	Location	Date Attended	Major Course Study	Degree	Degree Completed Yes or No?
					☐ Yes ☐ No
					☐ Yes ☐ No
					☐ Yes ☐ No
					☐ Yes ☐ No
Employmen List all previous er Please complete al Start with present of	nployers for at lea I spaces.	st ten years. If nec	essary, use an additi	onal sheet of paper.	
Employer	City/S	tate Te	elephone #	Start Date	End Date
Manager Name	Job Ti	ttle St	arting Pay Rate	Ending Pay Rate	Pay Frequency Hourly \$ Annual \$
Reason For Leaving:			b Duties:	_ ¥	

Employer	City/State	Telephone #	Start Date	End Date		
Manager Name	Job Title	Starting Pay Rate	Ending Pay Rate	Pay Frequency Hourly \$		
Reason For Leaving:		\$ Annual \$ Job Duties:				
Employer	City/State	Telephone #	Start Date	End Date		
Manager Name	Job Title	Starting Pay Rate	Ending Pay Rate	Pay Frequency Hourly \$		
Reason For Leaving:		Job Duties:	\$. Annual \$		
Employer	City/State	Telephone #	Start Date	End Date		
Manager Name	Job Title	Starting Pay Rate	Ending Pay Rate	Pay Frequency Hourly \$ Annual \$		
Reason For Leaving:		Job Duties:				
If currently employed, why	are you seeking to char	nge jobs ?				
	tional training or financi	ent employer? ial service experience that cons, software programs, ty	-			
Have you ever been dischar Yes No If yes, state full reasons:	ged, suspended, termina	ated or allowed to resign pric	or to termination?			

 Date	Signature
I acknowledge receipt of this disclosure.	
I also release any individual, partnership or co and employees for any liability for any damage	orporation, which formerly employed me, and its officers, agent whatsoever for issuing such information.
employers, information service organizations, information they have, including but not limited	nvestigation and authorize all consumer reporting agencies, bureaus, government entities, and individuals to provide any knowledge or to consumer reports and investigative consumer reports, concerning lifications for employment and for other employment purposes.
	e nature and scope of such an investigation, if one is made, will written summary of my rights under the Fair Credit Reporting Act.
investigative inquiries may be made on myself a reports and/or investigative consumer reports,	rment and any resulting employment with eQuotz®, I understand that and that this investigation may include what are considered consumer which may include information as to my prior employment, character, inderstand that investigative consumer reports may involve personal d references.
Violation Date County	City State
and disposition of the case. You should also pr	questions above, explain the nature and location of the offense, date rovide any documentation relative to the offense. If you are uncertain please list it. You should list violations even if you have been told that
Are charges currently pending against you for a	any crime or civil offense?
	II or similar conviction or if you have had charges dismissed after or fines, having no similar offenses for a specified time, performing
Yes No	Time of only, or office a life any product are local program.
	minal or civil, or entered into any pretrial diversion program?
diversion, or have charges pending against you of the questions below will not automatically	s legally required to know if you have been convicted, entered pretrial u for certain types of crimes or civil offenses. A "yes" answer to either disqualify you from employment, but will require further review. A a refusal to hire or, if hired, immediate dismissal. Please include all ense may have occurred
Are you under 18 years of age? Yes No	

Please read the following statements, and sign where indicated to signify your understanding.

If you need clarification on any statement, please ask before signing.

Drug & Alcohol Policy

eQuotz[®] recognizes that alcohol and drug abuse adversely affects job performance and safety in the workplace. We believe that a productive and safe work environment is in the best interest of our employees, our customers and our shareholders. Therefore, eQuotz[®] prohibits or restricts the use of alcohol, narcotics, depressants, stimulants, hallucinogens, and marijuana, as well as the use of prescription drugs when resulting behavior or appearance adversely affects work performance. This policy applies to all applicants for employment and to all employees, including contractor employees of temporary employment agencies. The policy is applicable at all company facilities or wherever company employees are performing company business.

Drug testing will be conducted for external applicants who are offered employment or, where permitted by state law, for new employees who are selected for testing. Drug and alcohol testing for existing employees will be limited to situations where there is reasonable suspicion, as determined by eQuotz[®], or where the employee has been referred by eQuotz® for chemical dependency treatment or evaluation or is participating in a chemical dependency treatment program under an employee benefit plan. Reasonable suspicion may include situations where an employee's work performance may be adversely affected or there is a reason to believe there is a violation of this policy. All applicable federal and state laws governing testing will be followed throughout the process eQuotz[®] will use only certified laboratories to conduct the test. Test results will be kept confidential and used only for business purposes.

Employees and/or job applicants may refuse to submit to a drug and/or alcohol test; however, refusal may result in a conditional job offer being rescinded or disciplinary action up to and including termination. Individuals tested may explain a positive test result on a confirmatory test or request and pay for an additional confirmatory test using the same specimen. Positive test results may result in a conditional job offer to an external applicant being rescinded and an employee being referred to a rehabilitation program and/or being subjected to disciplinary action, up to and including termination.

Individuals tested may receive copies of their test results upon written request to Human Resources.

Fingerprinting and Bonding

I understand that as a condition of employment, I may be fingerprinted at the time of hire. This is done in order to comply with Section 19 of the Federal Deposit Insurance Act, which precludes the employment of any person convicted of certain criminal offenses without the written consent of the Federal Deposit Insurance Corporation. If at any time during my employment, eQuotz[®] is unable to obtain bonding for me under its standard blanket bond, I understand my employment with eQuotz[®] may be terminated.

Proof of Eligibility for Work

I understand that if I am hired, I must be able to prove my identity and my eligibility to work in the United States using forms of documentation deemed acceptable by the Immigration and Naturalization Service. I acknowledge that failure to do so may constitute grounds for termination.

Work Permit

If state law requires me to furnish a work permit because I am under the age of 18, I will do so no later than my first day of employment.

Employment of Relatives

Because of the potential for conflicts of interest, the appearance of favoritism and other issues, eQuotz[®] reserves the right to prohibit or restrict the employment of relatives.

Employment At-Will

I understand that nothing in this application is intended to imply or create a contract for employment. I further understand that, if hired, my employment will be at-will and may be terminated by me or by $eQuotz^{@}$ at any time, with or without notice, for any reason, and that the terms of my employment, compensation

or benefits may be changed at any time, without advance notice or my consent. I also understand that while personnel policies and procedures may necessarily change from time to time, no representative of eQuotz[®] has the authority to enter into any agreement with me for any specified period of time or to guarantee some other benefit, unless approved by the Director of Human Resources or his or her designee.

I certify that

- all statements I have made on this application are true and complete;
- I have withheld nothing that would, if disclosed, affect this application unfavorably.

I understand that

- any omission or misrepresentation of fact may result in refusal of employment or immediate termination;
- this employment application and any other Company documents or manuals do not constitute contracts of
 employment and any oral or written statements to the contrary are hereby expressly disavowed and should not
 be relied upon by me.

I authorize

the investigation of all statements I have made in this application;

• I have read and understand the above statements.

Signature _____ Date ____

- the release of references, grade transcripts, drug testing results, criminal background inquiry reports and additional information pertinent to my employment;
- the solicitation of any and all information from previous employers, colleagues and other persons concerning previous employment and any pertinent information they may have.

I release

- eQuotz[®], its subsidiaries and/or affiliates, including all of its officers agents, representatives and employees, for any and all liability and damages arising out of submission to a drug test or a criminal background inquiry and any consumer report obtained for employment purposes.
- All parties from all liability for any damage that may result from furnishing any of the information authorized above.

I hereby acknowledge that

Form **8850** (Rev. November 2001)
Department of the Treasury
Internal Revenue Service

Pre-Screening Notice and Certification Request for the Work Opportunity and Welfare-to-Work Credits

OMB No. 1545-1500

See separate instructions.

	Job applicant: Fill in the lines below and check any boxes that apply. Complete only this side.
Your	name: Social Security number:
Stree	et address where you live
City o	or town, state, and ZIP code
	phone no. ()
If you	are under age 25, enter you date of birth (month, day, year) / /
	Work Opportunity Credit
1	Check here if you received a conditional certification from the state employment security agency (SESA) or a participating local agency for the work opportunity credit.
2	Check here if any of the following statements apply to you.
	• I am a member of a family that has received assistance from Aid to Families with Dependent Children (AFDC) or its successor program, Temporary Assistance for Needy Families (TANF), for any 9 months during the last 18 months.
	• I am a veteran and a member of a family that received food stamps for at least a 3-month period within the last15 months.
	• I was referred here by a rehabilitation agency approved by the state or the Department of Veterans Affairs.
	 I am at least age 18 but not over age 24 and I am a member of a family that: a) Received food stamps for the last 6 months, OR b) Received food stamps for at least 3 of the last 5 months, BUT is no longer eligible to receive them.
	Within the past year, I was convicted of a felony or released from prison for a felony AND during the last 6 months I was a member of a low-income family.
	• I received supplemental security income (SSI) benefits for any month ending within the last 60 days.
	Welfare-to-Work-Credit
3	Check here if you received a conditional certification from the SESA or a participating local agency for the welfare-to-work credit.
4	Check here if you are a member of a family that:
	• Received AFDC or TANF payments for at least the last 18 months, OR
	• Received AFDC or TANF payments for any 18 months beginning after August 5, 1997, OR
	 Stopped being eligible for AFDC or TANF payments after August 5, 1997, because Federal or state law limited the maximum time those payments could be made.
	All Applicants
	penalties of perjury, I declare that I gave the above information to the employer on or before the day I was offered a job, and it is, to the best of my knowledge, true, t, and complete.
Job a	applicant's signature Date

Employer's signature

Privacy Act and **Paperwork Reduction Act Notice**

Section references are to the Internal Revenue Code.

Section 51 (d)(1 2) permits a prospective employer to request the applicant to complete this form and give it to the prospective employer. The information will be used by the employer to complete the employer's Federal tax return. Completion of this form is voluntary and may assist members of targeted groups and long-term family assistance recipients in securing employment. Routine uses of this form include giving it to the state employment security agency (SESA), which will contact appropriate sources to confirm that the applicant is a member of a targeted group or a long-term family

assistance recipient. This form may also be circumstances. The estimated average given to the Internal Revenue Service for time is: administration of the Internal Revenue Recordkeeping laws, to the Department of Justice for civil and criminal litigation, to the Department of Labor for oversight of the certifications performed by the SESA, and to cities, states, and the District of Columbia for use in administering their tax laws.

You are not required to provide the information requested on a a form that is subject to the Paperwork Reduction Act unless the form displays a valid OMB control number. Books or records relating to a form or its instructions must be retained as long as their contents may become material in the administration of any Internal Revenue law. Generally, tax returns and return information are confidential, as required by section 6103.

The time needed to complete and file this form will vary depending on individual

Title Date

2 hr., 47 min. Learning about the law or the form

Preparing and sending this form to the

If you have comments concerning the accuracy of these time estimates or suggestions for making this form simpler, we would be happy to hear from you. You can write the the Tax Forms Committee, Western Area Distribution Center,

Rancho Cordova, CA 95743-0001.

DO NOT send this form to this address. Instead, see When and Where To File in the separate instructions.

INSTRUCTIONS

PLEASE READ ALL INSTRUCTIONS CAREFULLY BEFORE COMPLETING THIS FORM.

Anti-Discrimination Notice. It is illegal to discriminate against any individual (other than an alien not authorized to work in the U.S.) in hiring, discharging, or recruiting or referring for a fee because of that individual's national origin or citizenship status. It is illegal to discriminate against work eligible individuals. Employers **CANNOT** specify which document(s) they will accept from an employee. The refusal to hire an individual because of a future expiration date may also constitute illegal discrimination.

Section 1- Employee. All employees, citizens and noncitizens, hired after November 6, 1986, must complete Section 1 of this form at the time of hire, which is the actual beginning of employment. The employer is responsible for ensuring that Section 1 is timely and properly completed.

Preparer/Translator Certification. The Preparer/Translator Certification must be completed if Section 1 is prepared by a person other than the employee. A preparer/translator may be used only when the employee is unable to complete Section 1 on his/her own. However, the employee must still sign Section 1 personally.

Section 2 - Employer. For the purpose of completing this form, the term "employer" includes those recruiters and referrers for a fee who are agricultural associations, agricultural employers or farm labor contractors.

Employers must complete Section 2 by examining evidence of identity and employment eligibility within three (3) business days of the date employment begins. If employees are authorized to work, but are unable to present the required document(s) within three business days, they must present a receipt for the application of the document(s) within three business days and the actual document(s) within ninety (90) days. However, if employers hire individuals for a duration of less than three business days, Section 2 must be completed at the time employment begins. Employers must record: 1) document title; 2) issuing authority; 3) document number, 4) expiration date, if any; and 5) the date employment begins Employers must sign and date the certification. Employees must present original documents. Employers may, but are not required to photocopy the document(s) presented. These photocopies may only be used for the verification process and must be retained with the I-9. However, employers are still responsible for completing the I-9.

Section 3 - Updating and Reverification.

Employers must complete Section 3 when updating and/or reverifying the I-9. Employers must reverify employment eligibility of their employees on or before the expiration date recorded in Section 1. Employers CANNOT specify which document(s) they will accept from an employee.

If an employee's name has changed at the time this form is being updated/reverified, complete Block A.

If an employee is rehired within three (3) years of the date this form was originally completed and the employee is still eligible to be employed on the same basis as previously indicated on this form (updating), complete Block B and the signature block.

If an employee is rehired within three (3) years of the date this form was originally completed and the employee's work authorization has expired **or** if a current employee's work authorization is about to expire (reverification), complete Block B and:

examine any document that reflects that the employee is authorized to work in the U.S. (see List A or C),

record the document title, document number and expiration date (if any) in Block C, and

complete the signature block.

Photocopying and Retaining Form I-9. A blank I-9 may be reproduced, provided both sides are copied. The Instructions must be available to all employees completing this form. Employers must retain completed I-9s for three (3) years after the date of hire or one (1) year after the date employment ends, whichever is later.

For more detailed information, you may refer to the Department of Homeland Security (DHS) Handbook for Employers, (Form M-274). You may obtain the handbook at your local U.S. Citizenship and Immigration Services (USCIS) office.

Privacy Act Notice. The authority for collecting this information is the Immigration Reform and Control Act of 1986, Pub. L. 99-603 (8 USC 1324a).

This information is for employers to verify the eligibility of individuals for employment to preclude the unlawful hiring, or recruiting or referring for a fee, of aliens who are not authorized to work in the United States.

This information will be used by employers as a record of their basis for determining eligibility of an employee to work in the United States. The form will be kept by the employer and made available for inspection by officials of the U.S. Immigration and Customs Enforcement, Department of Labor and Office of Special Counsel for Immigration Related Unfair Employment Practices.

Submission of the information required in this form is voluntary. However, an individual may not begin employment unless this form is completed, since employers are subject to civil or criminal penalties if they do not comply with the Immigration Reform and Control Act of 1986.

Reporting Burden. We try to create forms and instructions that are accurate, can be easily understood and which impose the least possible burden on you to provide us with information. Often this is difficult because some immigration laws are very complex. Accordingly, the reporting burden for this collection of information is computed as follows: 1) learning about this form, 5 minutes; 2) completing the form, 5 minutes; and 3) assembling and filing (recordkeeping) the form, 5 minutes, for an average of 15 minutes per response. If you have comments regarding the accuracy of this burden estimate, or suggestions for making this form simpler, you can write to U.S. Citizenship and Immigration Services, Regulatory Management Division, 111 Massachuetts Avenue, N.W., Washington, DC 20529. OMB No. 1615-0047.

NOTE: This is the 1991 edition of the Form I-9 that has been rebranded with a current printing date to reflect the recent transition from the INS to DHS and its components.

U.S. Citizenship and Immigration Services

transition from the INS to DHS and its components.

Employment Eligibility Verification

Please read instructions carefully before completing this form. The instructions must be available during completion of this form. ANTI-DISCRIMINATION NOTICE: It is illegal to discriminate against work eligible individuals. Employers CANNOT specify which document(s) they will accept from an employee. The refusal to hire an individual because of a future expiration date may also constitute illegal discrimination.

Section 1. Employee Information and Verification. To be completed and signed by employee at the time employment begins.

City State			Zip Code	Social Secu	rity #
I am aware that federal law provides fo		Late	st, under penalty of perj A citizen or national o	-	k one of the following):
imprisonment and/or fines for false sta			A Lawful Permanent F		
use of false documents in connection completion of this form.	with the		An alien authorized to		
completion of this form.			(Alien # or Admission		
Employee's Signature			T (MICH III OF FRAMISSION	Date (month.	/day/year)
Preparer and/or Translator Certification. I attest, under penalty of perjury, that I have assisted Preparer's/Translator's Signature		of this for			
Address (Street Name and Number, City, State, Zip	Code)	Dat	e (month/day/year)		
the document(s). List A			verse of this form, and OR List B	AND	List C
the document(s). List A Document title: Issuing authority: Document #: Expiration Date (if any): Document #: Expiration Date (if any): CERTIFICATION - latest, under penalty of pethat the above-listed document(s) appear to	erjury, that I ha be genuine and	ve exan	DR List B	AND	List C
the document(s). List A Document title: Issuing authority: Document #: Expiration Date (if any): Document #: Expiration Date (if any): CERTIFICATION - latest, under penalty of pethat the above-listed document(s) appear to on (month/day/year) a	erjury, that I have be genuine and nd that to the b	ve exam to rela	DR List B nined the document te to the employee in the knowledge i	AND (s) presented by named, that the mployee	List C the above-named employee, employee began employment
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the document(s). List A Document title: Issuing authority: Document #: Expiration Date (if any): Document #: Expiration Date (if any): CERTIFICATION - latest, under penalty of pethat the above-listed document(s) appear to on (month/day/year) a is eligible to work in the United States. (State Signature of Employer or Authorized Representative Business or Organization Name	erjury, that I have be genuine and that to the best employment and Print Name Address (Street, 1985)	ve examily to relate to re	DR List B sined the document te to the employee in knowledge the error may omit the date and Number, City, Sta	AND (s) presented by named, that the mployee the employee be te, Zip Code)	List C the above-named employee, employee began employment egan employment.) Title
Document title: Issuing authority: Document #: Expiration Date (if any): Document #: Expiration Date (if any): CERTIFICATION - latest, under penalty of pethat the above-listed document(s) appear to on (month/day/year) a is eligible to work in the United States. (State Signature of Employer or Authorized Representative Business or Organization Name Section 3. Updating and Reverification.	erjury, that I have be genuine and that to the best employment and Print Name Address (Strate of Reference o	ve exament to relate to re	DR List B mined the document the to the employee in the to the employee in the may omit the date and Number, City, Sta by employer. Inth/day/year) (if applica	t(s) presented by named, that the mployee the employee be te, Zip Code)	List C the above-named employee, employee began employment.) Title Date (month/day/year)
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LISTS OF ACCEPTABLE DOCUMENTS

LIST A

Documents that Establish Both Identity and Employment Eligibility

OR

- 1. U.S. Passport (unexpired or expired)
- 2. Certificate of U.S. Citizenship (Form N-560 or N-561)
- 3. Certificate of Naturalization (Form N-550 or N-570)
- 4. Unexpired foreign passport, with I-551 stamp or attached Form I-94 indicating unexpired employment authorization
- 5. Permanent Resident Card or Alien Registration Receipt Card with photograph (Form I-151 or I-551)
- 6. Unexpired Temporary Resident Card (*Form I-688*)
- 7. Unexpired Employment Authorization Card (Form I-688A)
- 8. Unexpired Reentry Permit (Form *I*-327)
- 9. Unexpired Refugee Travel Document (Form 1-571)
- 10.Unexpired Employment Authorization Document issued by DHS that contains a photograph (Form I-688B)

LIST B

Documents that Establish Identity

1 Driver's license or ID card issued by a state or outlying possession of the United States provided it contains a photograph or information such as

- 2. ID card issued by federal, state or local government agencies entities, provided it contains a photograph or information such as name, date of birth, gender, height, eye color and address
- 3. School ID card with a photograph
- 4. Voter's registration card
- 5. U.S. Military card or draft record
- 6. Military dependent's ID card
- 7. U.S. Coast Guard Merchant Mariner Card
- 8. Native American tribal document
- 9. Driver's license issued by a Canadian government authority

For persons under age 18 who are unable to present a document listed above:

- 10. School record or report card
- 11. Clinic, doctor or hospital record
- 12. Day-care or nursery school record

LIST C

Documents that Establish **Employment Eligibility**

AND

name, date of birth, gender, height, eye color and address

- 6.ID Card for use of Resident Citizen in the United States (Form I-179)

- 1. U.S. social security card issued by the Social Security Administration (other than a card stating it is not valid for employment)
- Certification of Birth Abroad issued by the Department of State (Form FS-545 or Form DS-1350)
- 3. Original or certified copy of a birth certificate issued by a state, county, municipal authority or outlying possession of the United States bearing an official seal
- 4. Native American tribal document
- 5.U.S. Citizen ID Card (Form I-197)
- 7. Unexpired employment
- authorization document issued by DHS (other than those listed under List A